

Display of complaints status by Investment Advisers (IAs)

Data for the month ending – AUGUST 2022

| Sr. No. | Received From | Pending at the end of Last Month | Received | Resolved* | Total Pending [#] | Pending Complaints >3 months | Average Resolution Time [^] (in days) |
|---------|---------------|----------------------------------|----------|-----------|----------------------------|------------------------------|--|
| NA | None | None | None | None | None | None | None |

[^]Average Resolution Time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of Monthly disposal of complaints

| Sr. No. | Month | Carried forward from previous month | Received | Resolved* | Pending [#] |
|---------|--------|-------------------------------------|----------|-----------|----------------------|
| 1 | Jul'22 | None | None | None | None |
| 2 | Jun'22 | None | None | None | None |
| 3 | May'22 | None | None | None | None |
| 4 | Apr'22 | None | None | None | None |
| 5 | Mar'22 | None | None | None | None |
| 6 | Feb'22 | None | None | None | None |
| 7 | Jan'22 | None | None | None | None |
| 8 | Dec'21 | None | None | None | None |
| 9 | Nov'21 | None | None | None | None |
| 10 | Oct'21 | None | None | None | None |

*Inclusive of complaints of previous months resolved in the current month.

[#]Inclusive of complaints pending as on the last day of the month.

Trend of Annual Disposal of Complaints

| Sr. No. | Year | Carried Forward from Previous Year | Received | Resolved* | Pending [#] |
|---------|------|------------------------------------|----------|-----------|----------------------|
| 1 | 2021 | None | None | None | None |

*Inclusive of complaints of previous months resolved in the current month.

[#]Inclusive of complaints pending as on the last day of the month.