

Display of complaints status by Investment Advisers (IAs)

Data for the month ending – DECEMBER 2022

| Sr. No. | Received From | Pending at the end of Last Month | Received | Resolved* | Total Pending [#] | Pending Complaints >3 months | Average Resolution Time [^] (in days) |
|---------|---------------|----------------------------------|----------|-----------|----------------------------|------------------------------|------------------------------------------------|
| NA | None | None | None | None | None | None | None |

[^]Average Resolution Time is the sum total of time taken to resolve each complaint in days in the current month divided by total number of complaints resolved in the current month.

Trend of Monthly disposal of complaints

| Sr. No. | Month | Carried forward from previous month | Received | Resolved* | Pending [#] |
|---------|----------------|-------------------------------------|----------|-----------|----------------------|
| 1 | November 2022 | None | None | None | None |
| 2 | October 2022 | None | None | None | None |
| 3 | September 2022 | None | None | None | None |
| 4 | August 2022 | None | None | None | None |
| 5 | July 2022 | None | None | None | None |
| 6 | June 2022 | None | None | None | None |
| 7 | May 2022 | None | None | None | None |
| 8 | April 2022 | None | None | None | None |
| 9 | March 2022 | None | None | None | None |
| 10 | February 2022 | None | None | None | None |
| 11 | January 2022 | None | None | None | None |
| 12 | December 2021 | None | None | None | None |

*Inclusive of complaints of previous months resolved in the current month.

[#]Inclusive of complaints pending as on the last day of the month.

Trend of Annual Disposal of Complaints

| Sr. No. | Year | Carried Forward from Previous Year | Received | Resolved* | Pending [#] |
|---------|------|------------------------------------|----------|-----------|----------------------|
| 1 | 2021 | None | None | None | None |
| 2 | 2022 | None | None | None | None |

*Inclusive of complaints of previous months resolved in the current month.

[#]Inclusive of complaints pending as on the last day of the month.