Display of complaints status by Investment Advisers (IAs)

Data for the month ending – October 2026

Sr. No.	Received From	Pending at the end of Last Month	Received	Resolved*	Total Pending#	Pending Complaints >3 months	Average Resolution Time^ (in days)
NA	None	None	None	None	None	None	None

^Average Resolution Time is the sum total of time taken to resolve each complaint in days in the current month divided by total number of complaints resolved in the current month.

Trend of Monthly disposal of complaints

Sr. No.	Month	Carried forward from previous month	Received	Resolved*	Pending#
1	September 2026	None	None	None	None
2	August 2026	None	None	None	None
3	July 2026	None	None	None	None
4	June 2026	None	None	None	None
5	May 2026	None	None	None	None
6	April 2026	None	None	None	None
7	March 2026	None	None	None	None
8	February 2026	None	None	None	None
9	January 2026	None	None	None	None
10	December 2025	None	None	None	None
11	November 2025	None	None	None	None
12	October 2025	None	None	None	None

*Inclusive of complaints of previous months resolved in the current month.
#Inclusive of complaints pending as on the last day of the month.

Trend of Annual Disposal of Complaints

Sr. No.	Year	Carried Forward from Previous Year	Received	Resolved*	Pending#
1	2025-2026	None	None	None	None
2	2024-2025	None	None	None	None
3	2023-2024	None	None	None	None
4	2022-2023	None	None	None	None

*Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.